

Renewal of licence or permit by owner, mortgagee or other affected person

This kit contains all the forms and materials required to apply for a renewal of licence or permit by owner, mortgagee or other affected person in Victoria.

Contents:

1. Pre-lodgement checklist – renewal of licence or permit by owner, mortgagee or other affected person
2. Application form – renewal of licence or permit by owner, mortgagee or other affected person
3. Personal history questionnaire
4. Declaration of associates
5. 'Training for licence applicants' fact sheet

Visit vic.gov.au/liquor-licence-application-fees to confirm the licence application fee.

How to apply

This is an interactive PDF form which allows you to:

- complete the form using a computer or tablet
- save your progress and continue at a later time
- print the completed form to sign and return.

You are still able to print the form and complete it by hand if you prefer.

This form has been designed to be completed using the free Adobe Acrobat Reader software. To download this free software, [please visit the following link](#) or search for the free "Adobe Acrobat Reader" on your device's app store.

This form may not function as intended if you use any other software.

Email application to:

contact@liquor.vic.gov.au

or send to:

Liquor Control Victoria
PO Box 1019
Richmond VIC 3121

Need help?

For more information on how to apply for a liquor or gambling licence or permit:

- visit Liquor Control Victoria (LCV) website at liquor.vic.gov.au
- telephone LCV on 1300 182 457
- email LCV at contact@liquor.vic.gov.au

Privacy

Liquor Control Victoria (LCV) is committed to protecting the privacy of your personal information. LCV endorses fair information handling practices and uses information in compliance with its obligations under the *Privacy and Data Protection Act 2014* (Vic). Personal information collected from you is only used for the purposes of the application for the licence or permit and/or legislation administered by LCV. Personal information is not disclosed to third parties unless required or authorised by law, or with your consent. As part of the application process, information provided may be forwarded to and retained by Victoria Police.

Pre-lodgement checklist

Renewal of licence or permit by owner, mortgagee or other affected person

This checklist details the documents required to accompany the lodgement of your application. Supplying these with your application will allow Liquor Control Victoria (LCV) to commence assessment of the application and can reduce processing time. Your application may be returned if the accompanying documents do not meet the requirements below. LCV may contact applicants to request additional documentation depending on the circumstances of their business. All forms are available on LCV's website liquor.vic.gov.au

Please ensure the following forms/documents are attached to this application

Tick all boxes below when a requirement has been met, then sign and date your confirmation at the end of this form.

Application form

Please ensure:

- all fields on the application form are completed
- the applicant signs and dates the application form
- that correct fees are attached, as detailed at vic.gov.au/liquor-licence-application-fees

Personal history questionnaire

Each person listed on the application, including any nominee, **must** complete and submit the 'Personal history questionnaire.' For companies, partnerships or clubs, questionnaires are required from each director, partner or executive committee member respectively.

New entrant training (if applicable)

A copy of an approved new entrant training certificate for all required persons is needed in order to determine the application, as detailed in the 'Training for licence applicants' fact sheet.

Responsible Service of Alcohol (RSA) training

A copy of an approved RSA certificate for all required persons is needed in order to determine the application, as detailed in the 'Training for licence applicants' fact sheet.

Evidence of eligibility

This is required when an applicant who is not the current licensee is seeking to renew a licence.

Evidence that you are the owner, mortgagee or that you are prejudicially affected by the failure of the licensee or permittee to renew the licence or permit, and you are entitled to possession of the premises.

Declaration of associates

Each person listed on the application must complete and submit the 'Declaration of associates' form. For companies, partnerships or clubs, declarations are required from each director, partner or executive committee member respectively.

Current and historical company extract

A Current and historical company extract is required if the applicant is a company. A current and historical company extract can be purchased from the ASIC website at asic.gov.au

Please note

Applicants will be sent an acknowledgement letter when the application and required documentation detailed in the above checklist are lodged. The letter may also outline a list of additional information required and the date by which it must be submitted. LCV will then complete the assessment and determine the application.

One applicant to sign and date below to confirm all required documentation has been completed and is attached to this application.

Name

Signature

Date

Payment details

IMPORTANT INFORMATION

Applications must be accompanied by the relevant fee. Please note that once an application has been registered, the application fee is non-refundable. Visit vic.gov.au/liquor-licence-application-fees to confirm the licence application fee. The application fee can be paid by:

- cheque or money order, made payable to Liquor Control Victoria; or
- credit card (Visa or MasterCard)

If you wish to make payment by credit card, please lodge your completed application with LCV and we will contact you directly to arrange payment if your application is accepted.

Please select your payment method:

Money order

Cheque

If you wish to make payment by credit card, please lodge your completed application with LCV and we will contact you directly to arrange payment if your application is accepted.

Privacy – LCV is committed to responsible and fair handling of personal information consistent with the *Policy and Data Protection Act 2014 (Vic)* and its obligations under the *Liquor Control Reform Act 1998*. Your credit card details will not be retained once your payment has been processed.

This page intentionally left blank.

Personal history questionnaire

Each person who is a part of this application must complete and sign their own copy of this questionnaire. If the application is from a company, partnership or club, a questionnaire is required from each director, partner or executive committee member. If a nominee is to be appointed, then they are also required to provide the following information.

Important information

We're going to ask you some questions regarding your background and personal history. This is so we can decide whether it's suitable for you to be involved with a liquor licence. The questions will go into the following subjects:

- Your personal details.
- Your criminal history.
- Whether you've ever declared bankruptcy.
- Any history you have with holding a liquor licence.
- Your history involving running other companies or involvement in professional organisations in Victoria, interstate or overseas.

We will share this information with Victoria Police, and they'll compare it against their records. LCV or Victoria Police may also ask you for more information about older offences if they're relevant. This is so we can ensure you're being truthful with the information you've given us.

Victoria Police may also decide to object to your application if they believe you or anyone else involved with the application is unsuitable to hold a licence. Having a criminal record may not necessarily prevent you or a business you are involved in from having a licence approved.

This form must be completed and dated no more than three months prior to your application being submitted.

Your personal information

Given name(s):

Middle name(s):

Family name:

Date of Birth (dd/mm/yyyy):

Director ID (if you are a nominee, skip this question)

(This is a 15-digit number that is a unique identifier obtained through the Australian Business Registry Services).

You must give us the details of **one** of the below ID options:

Australian drivers licence	Passport
Proof of Age card	Firearm/Shooters licence
Document ID number	State/Country of issue

Leaving out information we need could delay your application or result in a refusal. Making a false or misleading statement is an offence and may lead to a refusal and a fine, or a criminal prosecution.

If you are found to have made a false or misleading statement in your application, it may indicate that you are untrustworthy to hold a licence and your application may be refused.

Your contact details

Mobile number

Business number

Email address

Home address

Postal address (tick the box if it is the same as above)

Criminal history

Remember to be truthful, detailed and provide everything we need.

Have you ever been known by another name?

NO

YES (please provide details below)

Within the last 10 years, have you ever been found guilty of any offence at any court in Australia or overseas? (This includes any findings without conviction and good behaviour bonds).

NO

YES (please provide details below)

Do you have any charges pending against you? (Select 'YES' if you have been told you may receive, or currently have a court summons, or are on bail).

NO

YES (please provide details below)

Have you ever participated in a diversion program?

NO

YES (please provide details below)

Has your drivers licence ever been cancelled, suspended or have you ever been disqualified from driving? (This includes by a court, an infringement notice, or by a roads and traffic authority)

NO

YES (please provide details below)

If you have answered YES to any of the above questions, please provide details.

continued over the page

Professional history

Have you ever been disqualified from acting as a director by ASIC?

NO YES *(please provide details below)*

Have you ever been disqualified from practising in a profession?

NO YES *(please provide details below)*

Have you ever been found unsuitable to hold a licence by another regulator? (If yes, tell us what the licence was, the regulator that declined it, and why you were found to be unsuitable).

NO YES *(please provide details below)*

Has any company you are or were a director of, ever been insolvent, under administration or declared bankrupt?

NO YES *(please provide details below)*

If you answered YES to any of the above questions, please provide details.

Liquor licensing history

These questions are for those who have held a liquor licence previously, or have been part of an application for a liquor licence. If these situations do not apply to you, select "NO".

Have you ever been granted or applied for a liquor licence anywhere in Australia? (Including as a director, nominee or licensee).

NO YES *(please provide details)*

Has anyone previously made a finding that you were unsuitable to hold a liquor licence?

NO YES *(please provide details)*

Have police previously objected to your suitability to hold a liquor licence?

NO YES *(please provide details)*

Have you, either as a natural person or as a director or nominee of a body corporate, been found guilty of an offence applicable liquor legislation?

NO YES *(please provide details)*

Have you been a director, nominee or involved in the management, of a licensed premises that was subject to disciplinary action by a liquor regulator?

NO YES *(please provide details)*

Has a licensed venue where you have been a director, nominee or licensee incurred demerit points under applicable liquor legislation?

NO YES *(please provide details)*

If you answered yes to any of the above questions, please provide details in 'History details' section on this page.

History details

If you have answered yes to any of the questions on this form and need more room to tell us your information, please use this section.

Personal bankruptcy

Are you currently insolvent? (Insolvency is when a company or person can't pay debts when they are due).

NO YES *(please provide full details)*

Have you ever been declared bankrupt? (If YES, please provide bankruptcy dates and trustee details).

NO YES *(please provide full details)*

Please ensure this form is completed in full, as incomplete or partially completed forms will require you to submit a new questionnaire and will delay your application.

Signature of applicant

I acknowledge that this declaration is true and correct and is made in the belief that a person making a false declaration is liable to prosecution for making a misleading statement.

Your signature:

Date (dd/mm/yyyy):

Your full name:

Declaration of associates

Liquor Control Reform Act 1998

Instructions for completing this form

This is an interactive PDF form which allows you to:

- complete the form using a computer or tablet
- save your progress and continue at a later time
- print the completed form to sign and return.

You may still print this form and complete it by hand if you prefer.

This form has been design to be completed using the free Adobe Acrobat Reader software. To download this free software, [please visit the following link](#) or search for the free “Adobe Acrobat Reader” on your device’s app store.

This form may not function as intended if you use any other software.

Who must complete this form?

If the applicant is a **company or co-operative**:

- **One** director must complete the [PART A: Declaration of associates](#) (page three) for the **company**.
- **each director** of the company **must complete their own separate** [PART B: Declaration of associates – Individuals](#) (pages four and five).

For example: ABC Pty Ltd is applying for a restaurant and cafe liquor licence. There are two directors, John Smith and Jane Smith.

1. Only ABC Pty Ltd completes PART A: Declaration of associates – Body corporate. **AND**
2. John Smith completes PART B: Declaration of associates – Individuals. **AND**
3. Jane Smith completes PART B: Declaration of associates – Individuals.

If the applicant is an **individual** (sole trader):

- the individual **must complete** [PART B: Declaration of associates – Individuals](#) (pages four and five).

For example: John Smith is applying for a BYO permit.

1. John Smith completes PART B: Declaration of associates – Individuals.

If the applicant is a **partnership**:

- each partner **must complete their own separate** [PART B: Declaration of associates – Individuals](#) (pages four and five).

For example: John Smith and Jane Smith are applying for a pre-retail liquor licence and have entered a partnership agreement.

1. John Smith completes PART B: Declaration of associates – Individuals. **AND**
2. Jane Smith completes PART B: Declaration of associates – Individuals.

If the applicant is an **incorporated association**:

- **One** executive committee member must complete [PART A: Declaration of associates – Body corporate](#) (page three) for the **incorporated association**.
- **each executive committee member** of the incorporated association **must complete their own separate** [PART B: Declaration of associates – Individuals](#) (pages four and five).

For example: ABC Inc is applying for a Full Club liquor licence. There are 4 executive committee members, John Smith, Jane Smith, Tony Smith and Carl Smith.

1. Only ABC Inc completes PART A: Declaration of associates – Body Corporate. **AND**
2. John Smith completes separate PART B: Declaration of associates – Individuals. **AND**
3. Jane Smith completes separate PART B: Declaration of associates – Individuals. **AND**
4. Tony Smith completes separate PART B: Declaration of associates – Individuals. **AND**
5. Carl Smith completes separate PART B: Declaration of associates – Individuals.

Definitions – Associate

Before completing this declaration, please ensure that you read and understand the explanation of what is meant by “associate”.

Section 3AC of the *Liquor Control Reform Act 1998* (the Act) defines

1. an associate of a person (the “first person”) as—
 - b). a person who—
 - i. holds or will hold any relevant financial interest, or is or will be entitled to exercise any relevant power (whether in right of the person or on behalf of any other person) in any business of the first person involving the sale of liquor; and
 - ii. by virtue of that interest or power, is able or will be able to exercise a significant influence over or with respect to the management or operation of that business; or
 - c). a person who is or will be a director, whether in right of the person or on behalf of another person, of any business of the first person involving the sale of liquor; or
 - d). if the first person is a natural person, a person who is a relative of the first person, other than a relative
 - i. who is not, and has never been, involved in any business of the first person involving the sale of liquor; or
 - ii. who will not be involved in the business the first person proposes to conduct as a licensee or permittee.
2. In this section—

“**relative**”, in relation to a person, means—

 - a). the spouse or domestic partner of the person, or
 - b). a parent, son, daughter, brother or sister of the person; or
 - c). a parent, son, daughter, brother or sister of the spouse or domestic partner of the person;

“**financial interest**”, in relation to a business involving the sale of liquor, means—

 - a). any share in the capital of the business; or
 - b). any entitlement to receive any payment as a result of money advanced;

“**relevant power**” means any power, whether exercisable by voting or otherwise and whether excisable alone or in association with others—

 - a). to participate in any directorial, managerial or executive decision; or
 - b). to elect or appoint any person as a director.

Please note: Further to the above, a person who is or will be able to exercise a significant influence includes a shareholder owning 10% or more of the shares of any business of the first person involving the sale of liquor.

Definitions – Body Corporate

A body corporate is an organisation which has a separate legal status to its members (i.e. it can own property, sue and be sued, and enter into contracts in its own name).

Companies, incorporated associations, municipal councils, universities, TAFE institutes and co-operatives are all considered ‘body corporates’.

Unincorporated clubs/associations are not body corporates.

The director of a body corporate includes:

- any person occupying or acting in the position of director of the body corporate, by whatever name called (including partner, office holder, chief executive officer, executive committee member) and whether or not validly appointed to occupy or duly authorised to act in the position; and
- any person in accordance with whose directions or instructions the directors of the body corporate are instructed to act.

Please note: Directors of a Council, university or TAFE institute are not required to complete a declaration **Part B**.

Who should be declared as an associate?

Examples of persons who may be captured as associates include, but are not limited to, the following:

- each director, public officer, secretary, chief executive, chief financial officer, committee member of a body corporate.
- shareholders who are able to exercise a significant influence over or with respect to the management or operation of any business of the applicant/director of the body corporate involving the sale of liquor.
- where the applicant is a trustee of a trust, associates may include trust unit holders or beneficiaries who, by virtue of the Trust Deed, have voting rights enabling them to remove or change the trustee, or to influence decisions of the trustee.

PART A: Declaration of associates – If you are applying as a company or incorporated association, you must complete this form

NOTE: Individual (including partners and directors) are not required to complete Part A. You must complete [Part B](#) (see next page).

1: Name of the company or incorporated association applying for a liquor licence

2: Tell us about any businesses supplying liquor

Tell us about any businesses which involve the sale of liquor that the applicant runs, owns or is owned by. This includes businesses based in Victoria, interstate or overseas.

Business name or company name	Liquor licence number	Is this a Victorian business? (Tick the box if YES)

3. Tell us about any directors or people with significant influence over the businesses listed above

Tell us about any directors, executive committee members or associates for all of the businesses listed above. Before you start, make sure you understand what an associate is.

An associate is any who has, or is likely to have **significant** influence over the management or operation of the business **AND** who either has a financial interest in the business or is (or will be) entitled to exercise a relevant power.

A **financial interest** means any one or more of the following:

- any share in the capital of the business
- any entitlement to receive any income derived from the business
- any entitlement to receive any payment as a result of money advanced

A **relevant power** means:

- any relevant power, whether by voting or otherwise to participate in any directorial, managerial or executive decision, or to elect or appoint any person as a director.

Full name of individual <small>(Have they ever been known by another name? If yes, provide)</small>	Date of birth <small>(dd/mm/yyyy)</small>	Home address	Business name where they are associated <small>(as listed in question 2)</small>	Director ID <small>(if applicable)</small>

If you leave this section blank, you are confirming you have no associates to declare.

Signature/declaration of person/body corporate who is making this declaration

I declare that:

- the information contained in this form is true and correct
- I am authorised to sign this application on behalf of the body corporate (company or incorporated association).

Your full name

Your position in the business:

Your signature:

Date this form was signed (dd/mm/yyyy):

PART B: Declaration of associates – All individuals must complete this form

NOTE: All individual, partners, directors and executive committee members of the applicant must complete this form. It must be completed in your own name. Please refer to the '[Who should complete this form](#)' section on page one.

1: Your full name

2: Your relationship to applicant (for example director of a company or partner of a partnership)

3: Tell us about any businesses supplying liquor that you are involved in

Tell us about any businesses you are involved in that supply liquor. This includes businesses based in Victoria, interstate or overseas. You must include the business that is applying for a liquor licence.

Business name or company name	Liquor licence number	Is this a Victorian business? (Tick the box if YES)

If you leave this section blank, you are confirming you have no associates to declare.

4. Tell us about any directors or people with significant influence over the businesses listed above

Tell us about any directors, executive committee members or associates for all of the businesses listed above. Before you start, make sure you understand what an associate is.

An associate is any who has, or is likely to have **significant** influence over the management or operation of the business **AND** who either has a financial interest in the business or is (or will be) entitled to exercise a relevant power.

A **financial interest** means any one or more of the following:

- any share in the capital of the business
- any entitlement to receive any income derived from the business
- any entitlement to receive any payment as a result of money advanced

A **relevant power** means:

- any relevant power, whether by voting or otherwise to participate in any directorial, managerial or executive decision, or to elect or appoint any person as a director.

Full name of individual (Have they ever been known by another name? If yes, provide)	Date of birth (dd/mm/yyyy)	Home address	Business name where they are associated (as listed in question 3)	Director ID (if applicable)

If you leave this section blank, you are confirming you have no associates to declare.

continued over the page

5. Tell us about any of your relatives who are connected to any of your businesses

A close relative connected to any of your businesses is also considered an associate. Tell us about any close relatives that:

- are working for your businesses
- have worked for one of your businesses in the past
- are likely to work for one of your businesses in the future

A close relative can be your spouse or domestic partner, your parents, children or siblings or a close relative of your partner.

Full name of individual <small>(Have they ever been known by another name? If yes, provide)</small>	Date of birth <small>(dd/mm/yyyy)</small>	Home address	Business name where they are associated <small>(as listed in question 3)</small>

If you leave this section blank, you are confirming you have no associates to declare.

Signature/declaration of individual who is making this declaration

I declare that the information contained in this form is true and correct

Your full name:

Date this form was signed (dd/mm/yyyy):

Your signature: